

The Green Revolution in Health Information Technology

greenCDA

A Standard for Sustainable Health Records

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NAME: Liora Alschuler

TITLE: CEO, Lantana Consulting Group



Hi!

- Introduction
- Health Information Technology: green, yellow or brown?
- HIT Standards: a pivotal role in the greening of healthcare
- greenCDA and the Revolution in Health Information Technology
 - What is it
 - What is to be done
- Some conclusions and next steps



- What's happening today in HIT
 - Hospitals wired for basic administrative functions: "who, where, how much?"
 - Clinical data, the patient record, just coming on to the computer in electronic medical records (EMRs)
 - Outside acute care, Practice Management is in use, narrow adoption of EMRs
- The government would like this to change [1]
 - HITECH
 - Affordable Care Act



- As more clinical data moves onto the computer, what impact will this have on the color of healthcare?
- Kaiser Permanente study: "Use of Electronic Health Records can Improve the Industry's Environmental Footprint" [2]
 - Positives:
 - · Eliminated 1,000 tons of paper,
 - Eliminated 68 tons of x-ray film,
 - Lowered gasoline consumption by 3,000,000 gallons/year
 - Negatives:
 - · Higher energy consumption,
 - Generated additional 250 tons of waste
- Conclusion: net positive impact and the model is useful for evaluating impact



- To what extent is KP's experience reproducible?
- KP HealthConnect
 - Implemented March 2010
 - 454 medical offices
 - 36 hospitals
 - 9 states + DC

Provides

- Seamless integration of physician offices, hospitals, radiology, lab, pharmacy
- Secure email between patient & provider
- Patient review of results, request Rx refills
- PACS replaced conventional x-ray film in all regions



- Study framework
 - Used "Eco-Health Footprint" to identify areas of environmental impact [3]
 - Evaluated impact on
 - · greenhouse gases,
 - toxic chemicals, and
 - water use
- Largest effect on greenhouse gases from changes to:
 - paper consumption,
 - energy use, and
 - plastic and electronic waste



- Effect of Kaiser's HealthConnect on greenhouse gases through paper use, energy use
- Expressed as equivalent acres of carbon sequestration
- Positive:
 - 4,200 from decreased use of paper records
 - 257 from decreased use of x-ray covers and forms
 - 6,400-9,200 from fewer trips (less gasoline)
- Negative:
 - 810 from print out of summaries
 - 283 from PC packaging
 - 13,300 from PC and data center power usage
- Let's focus on these critical factors



How reproducible are the Kaiser findings?

- 6,400-9,200 from fewer trips (less gasoline)
- HealthConnect:
 - single entity spanning the full spectrum of care
 - Information flowing from clinic to hospital to consultant to lab to patient to pharmacy
- Estimated that in the care of a patient, 80% of the information needed will, at some point, cross an organizational boundary
- Hypothesis: to reproduce the positive impact
 - Information needs to be fluid
 - Organizations must have incentive to maintain quality while reducing visits



- Making information fluid
- It works at your ATM, and approximately 2.2M other ATMs
- It works for your stock broker, whether the market is +/-
- But, "nobody knows the doctors I've seen..." at least, your MDs do not know
 - Who you have seen
 - When you have seen them
 - For what purpose
 - What meds you take, much less OTC and supplements
 - When your last diagnostic test was done, much less the results
 - Unless they themselves have generated this information or, typically, acquired it by phone, fax or _mail.
- Why is HIT so... backward?



- Making information fluid
- Interoperability
 - "Syntactic interoperability is easy; semantic interoperability is darn near impossible." Tim Bray, co-editor of XML and one of the first to index the web
- The domain is BIG
 - Typical exchange standard might have data dictionary of 10,000 terms
 - Just one of the HIT terminologies, and there are dozens in use, has over 250,000 concepts
- And expanding
- And relies heavily on narrative human language to convey critical information



- Making information fluid
- So, how do you cope with that level of complexity?
- First wave HIT standards
 - Health Level Seven (HL7) messaging primarily for administrative, lab
 - X12 for claims processing
 - NCPDP for prescription ordering and fulfillment
 - DICOM for images
- What's still missing? The core of the clinical data
 - Discharge Summaries
 - Consult Notes
 - Progress Notes
 - Procedure Reports
 - Diagnostic Imaging Reports
 - History & Physicals



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- HL7's Clinical Document Architecture [4]
- A standard for the exchange of clinical documents
- Universal implemented around the globe
- Constrained by rules to fit specific requirements
 - Clinical:
 - Discharge Summary
 - Consult Note
 - Imaging Report, etc.
 - Public Health: Healthcare Associated Infections
 - Quality Reporting
 - Clinical Trials

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Germany		SCIPHOX
Finland		Aluetietojärjestelmä
Greece		HYGEIAnet/WebOnColl
Japan	•	MERIT-9 (MML)
Canada	*	Infoway
France		Dossier Médical Personnel
Italy		TeleMed Escape
U.S.		CHI, HITSP, IHE
Argentina	•	Hosp. Italiano de Buenos Aires
England		National Program for HIT
Turkey	C*	National Health Information System (NHIS)



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- HL7's Clinical Document Architecture
- Designated by the Department of Health & Human Services in the US for "meaningful use" of electronic health records
- Keystone standard for US healthcare reform



Minimal Document for Exchange

Good Health History & Physical

Patient	Adam Everyman			
Date of birth	November 25, 1954	Sex		Male
Contact info	17 <u>Daws</u> Rd. Blue Bell, MA 02368, USA Tel: (781)555-1212	Patient IDs		12345 2.16.840.1.113883.19.5
Document Id	999021 2.16.840.1.113883.19			
Document Created:	March 3, 2005, 17:15:04 +0500		<reco< td=""><td>ordTarget></td></reco<>	ordTarget>
Performer (primary care physician)	Dr. Henry Seven		<pa< td=""><td>tientRole></td></pa<>	tientRole>
Author	Henry Seven			
Contact info	21 North Ave Burlington, MA 01803, USA Tel: (555)555-1002		•	tient> ame>
Encounter Id	9937012 2.16.840.1.113883.19	Encounte	<	given>Adam
Encounter Date	From March 29, 2005 to March 29, 2005	5		family>Everyman
Legal authenticator	Henry Seven signed at March 29, 2005,	22:44:11 +	<td>name></td>	name>
Contact info	21 North Ave Burlington, MA 01803, USA Tel: (555)555-1002		<td>atient></td>	atient>
Document maintained by	Good Health Clinic			atientRole> ordTarget>
Contact info	21 North Ave Burlington, MA 01803, USA Tel: (555)555-1212			

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Optimum Level: Today

- REVIEW OF SYSTEMS
- PHYSICAL EXAMINATION
- DIAGNOSTIC FINDINGS
- ASSESSMENT AND PLAN
- ADVANCE DIRECTIVES

REASON FOR VISIT/CHIEF COMPLAINT

Stomach ache.

HISTORY OF PRESENT ILLNESS

This patient was only recently discharged for a re

He presented to the ER today c/o a dark stool ye after

Lab at discharge: Glucose 112, BUN 16, creatinin discharge hematocrit 29%. WBC 7300, platelet of

He was transfused with 6 units of packed red bloom

GI evaluation 12 September: Colonoscopy shows

PAST MEDICAL HISTORY

See HPI.

PAST SURGICAL HISTORY

See HPI.

CURRENT MEDICATIONS

1. Lisinopril 5 mg 1 tablet once a day



Achievable: Tomorrow

ALLERGIES AND ADVERSE REACTIONS

- Levaguin
- Lorazepam
- Peanuts

SOCIAL HISTORY

Drug-abuse History: None

Smoking History: 1 pack per day 1972-2000, None 2001-

FAMILY HISTORY

None recorded.

REVIEW OF SYSTEMS

Patient denies recent history of fever or malaise. Positive headaches. Positive for osteoarthritis in hips, knees and I

PHYSICAL EXAMINATION

HEENT

All normal to examination.

HEART

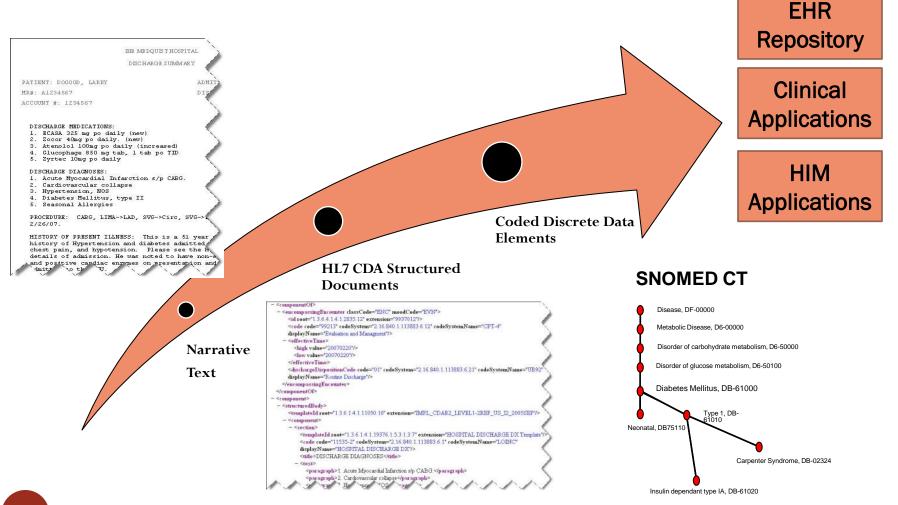
RRR, no murmur.

THORAX & LUNGS

```
<entry typeCode="DRIV">
 <observation classCode="OBS" moodCode="EVN">
  <templateId
    root="2.16.840.1.113883.10.20.1.33"/>
  <!-- Social history observation template -->
  <id extension="123456789"
    root="2.16.840.1.113883.19"/>
  <code codeSystem="2.16.840.1.113883.6.96"</pre>
        codeSystemName="SNOMED"
        code="230056004"
        displayName="Cigarette smoking"/>
  <statusCode code="completed"/>
  <effectiveTime>
   <low value="1972"/>
   <high value="2000"/>
  </effectiveTime>
  <value xsi:type="ST">1 pack per day</value>
 </observation>
</entry>
```



Incremental Interoperability



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Problem:

Creation of an instance conforming to a particular CDA Implementation Guide (IG) may require knowledge of:

- CDA R2 base specification
- HL7 Version 3 data type specification
- CDA templates defined in that IG
- CDA templates referenced by that IG
- Terminology code lists defined/referenced by that IG;

Validation of an instance conforming to a particular CDA IG may require:

- W3C Schema validation
- Schematron validation



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Design a simple XML schema:

- Elements use business names (not abstracted);
- Take out the complex stuff required for extensibility

Create the companion transform that will:

- Re-insert static elements
- Transform to canonical CDA elements



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greenCDA

What is it?

- An implementation methodology for generating templated CDA instances.
- A simplified XML Schema paired with a transform to normative CDA
- An 80% solution

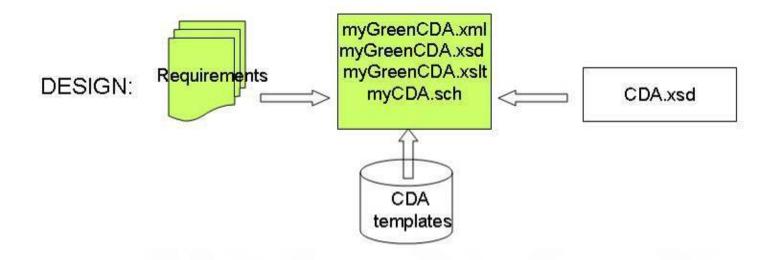
What is it not?

A replacement for normative CDA



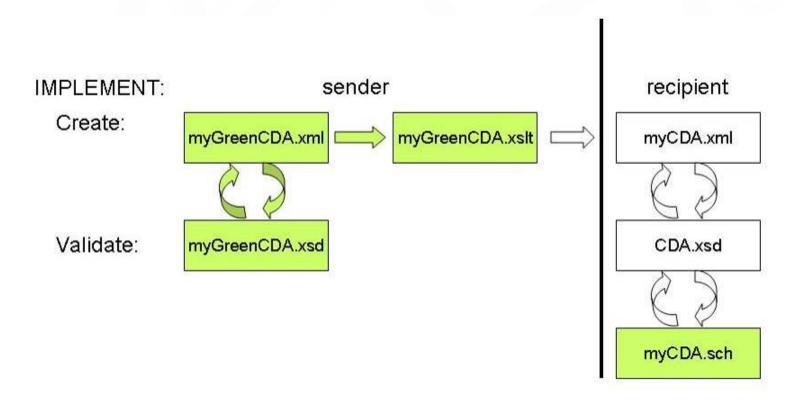
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Complexity remains in design





the Revolution in Health Information Technology Simplicity in implementation



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Simplicity in implementation

```
<templateld root="2,16,840,1,113883,10,20,1,18"/>
                                                                                                             367
             <section>
                                                                                                                                 <templateld root="1.3.6.1.4.1.19376.1.5.3.1.4.5"/>
329
              <templateld root="2.16.840.1.113883.10.20.1.2"/>
                                                                                                             368
                                                                                                                                 <templateld root="1.3.6.1.4.1.19376.1.5.3.1.4.6"/>
330
              <templateld root="1.3.6.1.4.1.19376.1.5.3.1.3.13"/>
                                                                                                             369
                                                                                                                                 <templateld root="2.16.840.1.113883.10.20.1.28"/>
331
              <code code="48765-2" codeSystem="2.16.840.1.113883.6.1" codeSystemName="LOINC"</p>
                                                                                                             370
                                                                                                                                 <id nullFlavor="NA"/>
332
                                                                                                             371
                displayName="Allergies, adverse reactions, alerts"/>
                                                                                                                                 <code code="282100009" codeSystem="2.16.840.1.113883.6.96"
333
              <title>Allergies, Adverse Reactions and Alerts</title>
                                                                                                             372
                                                                                                                                 displayName="Adverse reaction to substance"/>
334
                                                                                                             373
                                                                                                                                 <statusCode code="completed"/>
335
               374
                                                                                                                                 <effectiveTime nullFlavor="UNK">
336
                 <thead>
                                                                                                             375
                                                                                                                                 <low nullFlavor="UNK"/>
337
                                                                                                             376
338
                   Substance
                                                                                                             377
                                                                                                                                 <value xsi:type="CD" code="282100009" codeSystem="2.16.840.1.113883.6.96</p>
339
                   Reaction
                                                                                                             378
                                                                                                                                    codeSystemName="SNOMED CT"
340
                  379
                                                                                                                                     displayName="Adverse reaction to substance"/>
341
                 </thead>
                                                                                                             380
                                                                                                                                 <participant typeCode="CSM">
342
                 381
                                                                                                                                  <participantRole classCode="MANU">
343
                  <playingEntity classCode="MMAT">
                                                                                                             382
344
                                                                                                             383
                                                                                                                                     <code code="70618" codeSystem="2.16.840.1.113883.6.88" displayName="Penicillin">
345
                    <content ID="d22e6">Penicillin</content>
                                                                                                             384
346
                                                                                                             385
                                                                                                                                       <reference value="d22e6"/>
347
                   Hives
                                                                                                             386
                                                                                                                                      </originalText>
348
                  387
                                                                                                                                     </code>
349
                 388
                                                                                                                                   </playingEntity>
350
                389
                                                                                                                                  </participantRole>
351
                                                                                                             390
352
                                                                                                             391
                                                                                                                                 <entryRelationship typeCode="MFST">
353
                <act classCode="ACT" moodCode="EVN">
                                                                                                             392
                                                                                                                                  <observation classCode="OBS" moodCode="EVN">
354
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                                                                                                             393
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355
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356
357
                           <allergies>
358
359
360
                                <allergy>
361
362
                                    <adverseEventType code="282100009" codeSystem="2.16.840.1.113883.6.96" displayName="Adverse"
363
364
                  reaction to substance"/>
                                    codeSystem="2.16.840.1.113883.6.88" displayName="Penicillin" code="70618"/>
                                    <reaction codeSystem="2.16.840.1.113883.6.96" displayName="Hives" code="247472004"/>
                                </allergy>
                           </allergies>
```



- Early results: CDC's National Healthcare Safety Network
 - Launched by CDC in 2005 for surveillance of healthcare associated infections (HAIs)
 - Rapid growth in participation from ~ 300 hospitals initially to over 4500 hospitals in 2011
 - Over 450 hospitals are reporting to NHSN via CDA
 - Initial estimate: greening can reduce impedance by up to 10:1



- Use of greenCDA and supporting transformation tools shows great promise as an approach for reducing the effort required to implement fully normative CDA
- CDC worked with the Lantana Consulting Group to develop a greenCDA for central line insertion practices (CLIP) and is exploring the usability of greenCDA for CLIP in vendor systems



- So, standards can make the information move, but what if the providers don't WANT to minimize visits?
 - Inaugurating pay for quality in healthcare
 - "If you cannot measure it, you cannot improve it." Lord Kelvin
 - Requires clinical data, not claims codes
- Creating the incentive is as vital as creating the opportunity
- Standards that allow information to move among caregivers, patients and families is essential to both processes



The greening of health IT

- Build IT requirements into infrastructure
 - Let's get greater consideration in LEED for Healthcare [9]
 - Let's get greater consideration in the Green Guide for Healthcare
- Realize interoperability through green standards
- Reward quality, not quantity



References & Resources

- [1] HITECH: ONC/CMS Final Rules
 - http://www.gpoaccess.gov/fr/
 - http://healthit.hhs.gov/media/MU/n508/MU_SCC_CombinedGrid.pdf
- [2] Marianne Turley, Catherine Porter, et.al, "Use of Electronic Health Records can Improve the Industry's Environmental Footprint", *Health Affairs*, 30, no. 5 (2011):938-946; online at http://content.healthaffairs.org/content/30/5/938.full.html
- [3] Eco-Health Footprint: http://www.globalhealthandsafety.org/workgroups/eco_footprint/
- [4] CDA: http://www.hl7.org/implement/standards/cda.cfm
- [5] CDA Academy: <u>www.cdaacademy.com</u>
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Thank You!

Liora Alschuler CEO

Lantana Consulting Group

liora.alschuler@lantanagroup.com www.lantanagroup.com